



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/803,339	03/09/2001	Richard A. Wiltshire	122923-1000

CONFIRMATION NO. 7334

FORMALITIES LETTER



Todd E. Landis  
Gardere Wynne Sewell, LLP  
3000 Thanksgiving Tower  
1601 Elm Street Suite 3000  
Dallas, TX 75201-4767

06/08/2001 SDIRETA1 00000103 09803339

01 FC:205

65.00 DP

Date Mailed: 04/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

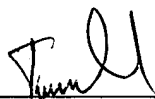
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in black ink, appearing to be 'Tim' followed by a stylized 'H' or 'L'.

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application: Richard A. Wiltshire, et al.

Serial No. 09/803,339

Filed: March 9, 2001

Group Art Unit: 2161

Examiner: Unknown

For: SYSTEMS, METHODS AND APPARATUSES FOR LOTTERY POOL  
MANAGEMENT

BOX: MISSING PARTS  
Commissioner of Patents  
Washington, D.C. 20231

Mailing Certificate Under 37 C.F.R. § 1.8(a) I hereby  
certify that the above correspondence is being deposited with  
the U.S. postal Service as First Class Mail in an envelope  
addressed to Box: Missing Parts, Commissioner for Patents,  
Washington, DC 20231 on June 4, 2001.

*Rita J. Carr*  
Rita J. Carr

Dear Sir:

**RESPONSE TO  
NOTICE TO FILE MISSING PARTS OF APPLICATION**

In response to the Notice to File Missing Parts of Application mailed April 3, 2001, a copy of which is returned herewith, Applicant respectfully submits this response. The due date for the Response to the Office Action was June 3, 2000, which was a Sunday. Applicant, therefore, respectfully submits that this Response is timely.

**Declaration**

As required by the Notice of Missing Parts of Application, Applicant hereby encloses an executed Declaration.

**Small Entity Status**

Applicant's attorney asserts that Richard A. Wiltshire, Johnny A. Ortiz, Jean-Philippe, and Mark E. Aldridge are the inventors of the above-identified patent application, who have all right, title and interest in the above-referenced application, and as individuals qualify for the small entity fee.

**Substitute Drawings**

Applicant respectfully submits substitute drawings (20 sheets) in compliance with 37 CFR 1.84.

**Required Fees**

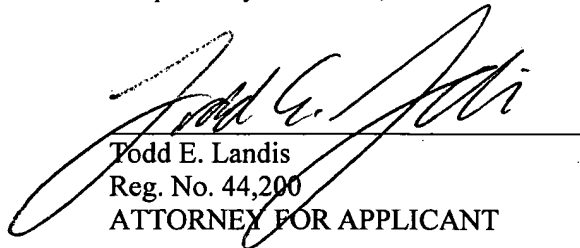
Enclosed is a check in the amount of \$65.00 to cover the late filing fee or oath or declaration surcharge fee as set forth in 37 CFR 1.16(e) for a small entity in compliance with 37 CFR 1.27.

**Conclusion**

Applicant respectfully submits that all required parts for the application have now been filed and that no additional fees are required. It is believed that no other fees are necessary. However, if this is incorrect, the Commissioner is hereby authorized to deduct said fees from Deposit Account No. 07-0153.

Respectfully submitted,

Dated: June 4, 2001

  
\_\_\_\_\_  
Todd E. Landis  
Reg. No. 44,200  
ATTORNEY FOR APPLICANT



*me*  
**GARDERE**

attorneys and counselors ■ [www.gardere.com](http://www.gardere.com)

*sector\$*

Direct Dial: 214-999-4388  
Direct Fax: 214-999-3388  
E-Mail: [tlandis@gardere.com](mailto:tlandis@gardere.com)

June 4, 2001

**Via First Class Mail**

Box: Missing Parts  
Commissioner for Patents  
Washington, DC 20231

Re: U. S. Patent Application for "SYSTEMS, METHODS AND APPARATUSES FOR  
LOTTERY POOL MANAGEMENT"  
Serial No.: 09/803,339  
Filing Date: March 9, 2001  
Our File No.: 122923-1000

Dear Sir:

In regard to the above-referenced matters, enclosed for filing please find the following items:

- (1) Response to Notice to File Missing Parts;
- (2) Notice to File Missing Parts;
- (3) Declaration and Power of Attorney;
- (4) Substitute Drawings (20 sheets);
- (5) Check in the amount of \$65.00 for surcharge fee; and
- (6) Postcard.

Please file the above and return the date-stamped postcard to our office at the above address. It is believed that no additional fees are due. However, if this is incorrect or the check is inadvertently omitted, the Commissioner is hereby authorized to deduct any necessary amount from deposit account 07-0153.

In the meantime, if you have any questions or comments concerning this matter, please call the undersigned at your earliest convenience. Otherwise, please accept the enclosed.

Sincerely,

Todd E. Landis\*  
Reg. No. 44,200  
\*Licensed only in New Mexico  
TEL: jrc:1017864.1  
Enclosures